## Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Application Number 08/441,355

Applicant

Michael HOUGHTON et al.

Filing Date May 15, 1995

Group Art Unit 1631

Delivery Date June 21, 2002

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
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Sheet 1 of 10

Form PTO-1449				Docket Number: 223002006313 Application Number: 08/441,35		55		
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MACE	1.	10,1996 (1 2916) (pgs. Letter from 216 Europe	y Decision In Opposition Text of Articles 106-142), (3) Documents related Lederer, Keller, & Reigan Patent Appeal No. 8	08 EPC (Form 2019 ating to the amended derer to the Europea 8.310922.5-2105 Pa	2) (1 page), (2 d text ( Form 2 n Patent Offici tentee: Chiron	)Reasons for the 2339.4) (pgs. 3 ce re: European	he Decisions) n Patent no	on (Form o. 0 318
	3.	Letter from Patent 318 Opposition	La Roche AG dated on J. A. Kemp & Co. to the 216 re: Notice of Appea Division. (pgs. 6)	ne European Patent ( al against certain asp	Office dated For the Internal of the Internal	terlocutory De	cision of t	he
	4.	Letter from Technical I	European Patent Office Board of Appeal 334 to	e to J.A. Kemp & Co the proceedings bear	o. dated Marc ring Case No.	h 5, 1997 re: A T0188/97-334	Assignmen 4. (1 page)	t to
	5.	Application	Abel & Imray to the En No. 88310922.5 Europagnostics Limited with	ean Patent No. 0318	8216 of Chiro	n Corporation		
	6.	Patent No.	the European Patent O 0 318 216 European Panann-LaRoche (pgs 22)	tent Application No.	& Co. dated A . 88310922.5-	April 25, 1997: 2105 - Substan	e: Europe ntiation of	an Appeal.
	7. Letter from J.A. Kemp & Co. to European Patent Office dated April 28, 1997, re: Setting out the Grounds of Appeal in Accordance with EPC Article 108; the Proprietor's Appeal against certain adverse findings in the Decision of the Opposition Division. (pgs. 19)					t the tain		
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Form PTC	)-1449		Docket Number: 223002006313	Application Number: 08/441,355		
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TRADEMA MAR	<b>%</b> .	(1)Letter from J. A. Kemp & Co. to the European Patent Office dated February 25, 1998, re: European Patent No. 318216 requesting a two month extension for the proprietor to file a reply statement of Appeal filed by the Opponent Appellant Roche. (pgs. 6) (2) Letter from Lederer, K & Riederer to European Patent Office Board of Appeal dated January 7, 1998 re:T 0188/97-3.3 (European Patent No. 0 318 216) granting further extension of two months (total 10 mos.) (3) L from J.A. Kemp to EPO requesting 2 month extension dated December 19, 1997. (4) Letter from European Patent Office granting two month extensions for all parties (a copy of request). (pgs. 4)				
	9.	Letter from the European Patent Offic 3.3.4 (European Patent No. 0 318 216 behalf of the proprietor.(pgs. 9) App.	re: Response to the substantiat			
	10.	Letter from J.A. Kemp & Co. to The Proprietor -Respondent to the Statemen				
	11.	Letter from Lederer, Keller & Riedere 13, 1999, re: T0188/97-3.3.4 re: Incor 123 (2) EPC. (pgs. 6)				
	12.	Letter from Lederer, Keller & Riedere 0188/97-3.3.4 (European Patent No. 0				
	13.	Letter from European Patent Office d Proceedings on Feb. 29 and March 1,		mon parties to attend Oral		
·	14.	Letter from J.A.Kemp & Co. to The Inconvenience of dates for Oral Proce				
	15.	Letter from European Patent Office to summons to attend oral proceedings ( letter)				
	16.	Letter from European Patent Office B 1999, re: T 0188/97-3.3.4 (European mentioned in patentees statement. (pg	Patent No. 0 318 216)-Requesting			
	17.	Letter from Lederer, Keller & Riedere 188/97-3.3.4 - Possible postponement				
	18.	Letter from J.A. Kemp & Co. to The Attempted Intervention under EPC A				
	19.	Letter from The European Patent Office to all parties dated December 17, 1999 to inform of the Oral proceedings on Feb . 29 2000 and March 1, 2000 would continue on June 27, 28 and 29 2000.(pgs. 3)				
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TE TRACE	<del>1</del> 31.	Letter from Brookes & Martin to Reg 21, 1999. re: Appeal No. T188/37-3.3	sistry Board of Appeal Europea 3.4- Opposition to Chiron Euro	n Patent Office dated December pean Patent 318 216 (pgs. 2)	
	22.	Acknowledgement Copy- Letter from January 7, 2000, re: Written submissi (pgs. 2)			
	23.	Letter from European Patent Office to proceedings of Feb. 29, 2000. (pgs. 4		of Minutes from oral	
	24.	Letter from Lederer, Keller & Rieder 2000, re: Use of German language du	er to European Patent Office Buring the oral proceedings from	Soard of Appeal dated May 11, June 27-29 2000. (pgs. 2)	
	25.	(1) Letter from Ann De Clercq & Co. Amended version of the Written state Opposition/Appeal/Intervention in ac 16, 1993, WIPO, (pgs. 133)	ement setting out the grounds f	or	
	26.	Letter from Lederer, Keller & Reider 3.3.4 European Patent No. 0 318 216 Appeal 3.3.4. (pgs. 35)			
	27	List of Documents cited in the appear	proceedings (pgs. 3)		
	28.	Letter from Ann Declerq & Co. B.V. Response to the Communication of the 1999. (pgs. 25)			
	29.	Letter from Ann Declerq & Co. B.V. re: Copies of articles of Weiner et. al "Molecular Biology of the Hepatitis Control of Viral Disease," <i>Hepatolog</i> C Viral Sequences in Non-A, Non-B	. 1990 and Houghton et. al., 19 C Viruses: Implications for Dia gy 14:381-388. Houghton et. a	991. Weiner et. al. (1991) agnosis, Development and al. (1990) "Detection of Hepatitis	
	30.	Letter from J. A. Kemp & Co. to Eur submissions on behalf of the Patented Tuesday, June 27, 2000.(pgs. 5)			
	31.	Appeal No. T0188/97-334 Concerning Written submissions on Behalf of Th			
	32.	(June 2000) Main Request for Contr 12)	acting States: AT, BE, CH, DE	E, FR, IT, LI, LU, NL & SE (pgs.	
	33.	(June 2000) Main Request for Contr	acting State: ES (pgs. 8)		
	34.	(June 2000) Main Request for Contr	racting State: GR (pgs. 12)		
	35. (June 2000) Auxiliary Request A For Contracting States: AT, BE, CH, DE FR, IT, LI, LU, NL SE. (pgs. 13)				
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Form PTO-1449			Docket Number: 223002006313	Application Number: 0	8/441,35	5-1	
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3	7.	(June 2000) Auxiliary Request C For SE. (pgs. 14)	Contracting States: AT, BE, CI	I, DE FR, IT, LI, I	LU, NL	. &	
3	8.	(June 2000) Auxiliary Request D For SE. (pgs. 10)	r Contracting States: AT, BE, CI	H, DE FR, IT, LI, I	LU, NI	L &	
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1 1 1	0. 1-11)	(1)Affidavit of David James Kemp or Wales District Registry General Divis			a New	South	
		(2)Exibit marked DJK-11 referred to the Federal Court of Australia New S NG10029 of 1997. (pgs. 69)				9 In	
		(3)Exibit marked DJK-12 referred to August 16, 1999 In the Federal Court G1029 of 1997. (pgs. 51)					
		(4)Exibit marked DJK-13 referred to Federal Court of Australia New South	in the affidavit of David James In Wales District Registry . Case	Kemp on August 1 no. G1029-of 199	6,1999 7. (pgs	In the . 2)	
		(5)Exibit marked DJK-14 referred to the Federal Court of Australia New S	in the affidavit of David James I outh Wales District Registry. Ca	Kemp on August 1 ase no. G1029 of 1	6, 199 997. (p	9 In ogs. 4)	
		(6)Exibit marked DJK-15 referred to The Federal Court of Australia New 3	in the affidavit of David James I South Wales District Registry. C	Kemp on August 1 Case no. G1029- of	6, 199 1997.	9 In (pgs.	
		(7)Exibit marked DJK-16 referred to The Federal Court of Australia New 9 49)					
		(8)Exibit marked DJK-17 referred to The Federal Court of Australia New (15)					
		(9)Exibit marked DJK-18 referred to The Federal Court of Australia New 34)					
(10)Exibit marked DJK-19 referred to in the affidavit of David James Kemp on August 16, 199 The Federal Court of Australia New South Wales District Registry. Case no. G1029- of 1997. (31)							
	(11)Exibit marked DJK-20 referred to in the affidavit of David James Kemp on August 16, 1999 I The Federal Court of Australia New South Wales District Registry. Case no. G1029- of 1997. (pg 146)						
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Form PTO-1449	Docket Number: 223002006313	Application Number: 08/441,355		
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Acknowledgement Copy -Letter from				
Acknowledgement Copy -Letter from 15, 2000, re: Appeal T0188/97-3.3.4 Declaration of Dr. Lamson. (pgs. 1)				
42. Acknowledgement Copy- Letter from 16, 2000 re: missing copy of Figure				
43. Acknowledgement Copy-Letter from 2000 re: Bring to the Board's attention Opponent's VI's submissions.(pgs. 5)	n to some procedural points; wh			
44. (1) Boards of Appeal of the European Patent Office-Decision dated January 21, 1999; re: Application No. 82304478.9.(pgs. 13) (2) Boards of Appeal of the EPO - Decision date July 6, re: Application No. 82902213.6 (pgs. 12) (3) Boards of Appeal of the European Patent Office - Decision date March 10, 1994 re: Application No. 84300759.2 (pgs. 7)				
45. Letter from Ann DeClercq & Co. B.V.B.A. dated June 16, 2000, re: Appeal Case T 188/97-3 European Patent 0 318 216/EP 88 310 922.5;Dr. Peter Simmonds accompanying Dr. Ann Cle the Oral Proceedings (pgs. 1)				
46. Letter from D.M. Goldin dated June of the Statement of Professor Thiel (p	19, 2000 re: Appeal T0188/97-3.3.4 re: Original signed version pgs. 1)			
47. Letter from Lederer, Keller & Rieder June 20, 2000 re: Appeal T 0188/97- (Application No. 310922.5) Typing	3.3.4 Chiron Corporation Europe	ean Patent No. 318 216		
	erer to The European Patent Office dated June 21, 2000 re: T 0 318 216 European Patent Application No. 88.310922.5-2105; of June 16, 2000. (pgs. 5)			
49. Authorisation of Representatives for Blackburn, VP & Chief Patent Couns	r Chiron Corp. dated June 26, 2000, signed by Robert P. nsel. (pgs. 5)			
50. Acknowledgement Copy- Letter from 2000 re: Appeal T0188/97-3.3.4 Euro Clercq to the Oral Proceedings.(pgs.	opean Patent No. 318 216; Dr. Si	n Patent Office dated June 24, immonds accompanying Dr. De		
51. Acknowledgement copy- Letter from 2000 and attachments re: Appeal TO	J.A. Kemp & Co. to European l 88/97-3.3.4; Consolidated list o	Patent Office dated June 26, f documents. (pgs. 27)		
52. Acknowledgement Copy- Letter from dated June 26, 2000; re: Appeal T018 versions of the Statement of Professor	88/97-3.3.4 European Patent No.			
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)	55.	Letter from European Patent Office proceedings of June 27-30 2000. (pg		y 18, 2000; re: Minutes of the ora
	56. Acknowledgement Copy-Letter from J.A. Kemp & Co. to The European Patent Office and attachments dated August 29, 2000 re: Appeal No.T0188/97-3.3.4 concerning European P 318,216; amended pages for incorporation into the specification of the granted patent.(pg			
57. Chiron HCV Case 1 European Patent No. 318,216 Appeal No. T0188/97; Claims Ma Board of Appeal At Oral Proceedings on June 27-30 2006 Auxiliary Request E"); Ha Claims As Submitted to the Board (Definitive), Plus Retyped Equivalents (For Ease Claims 1-5 For Contracting States: AT, BE, CH, DE, FR, IT, LI, LU, NL & ES; Clai Contracting State: ES; Claims 1-12 For Contracting State: GR (pgs. 45)				188/97; Claims Maintained By ry Request E"); Hand-Amended ivalents (For Ease of Reference); LU, NL & ES; Claims 1-9 For
	Letter from the European Patent Office to J.A. Kemp & Co. dated Feb. 23, 2001 re: Decis Technical Board of Appeal 3.3.4 of Feb. 8, 2001. (pgs. 75)			
	?	Loose in cover- Letter from J.A. Ke dated August 13, 2001 re: Documen Opposition by Roche & Others to C T0188/97. (pgs. 2)	its in relation to case (Chiron)	HCV-1 - NANBV Diagnostics
	60.	Expert's Report of Dr. Peters Simm Simmonds (pgs. 25)	onds on May 25, 2000 (pgs. 1	3). Curriculum vitae of Dr. Pete
	61.	Expert Report of Geneviève Inchau Inchauspé. (pgs. 10)	spé on May 16, 2000 (pgs. 23)	). Curriculum vitae of Geneviève
	Revised Expert Repoprt of DR. Geert G.H. Maertens on may 28, 2000 to the European Patent (pgs. 40). Curriculum vitae of Dr. Geert G.H. Maertens. (pgs. 6) Figures 1-4. (pgs 4)			
1	63.	Barnhart, R.K. An Abstract from T Houghton-Mifflin, Boston. (pgs. 2)		nary of Science, S. Steimetz, Ed.
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64. (1) Extract from the Examination for of Chiron (pgs. 4); (2) Letter from 1997 re: A two month extension for A letter from J.A. Kemp & Co. to I claims 1-24 to replace current on fit Communication from European Pa March 4, 1998 (pgs. 4); (5) A letter September 8, 1998 re: Response to			(1) Extract from the Examination file of Chiron (pgs. 4); (2) Letter from J.A. 1997 re: A two month extension for the A letter from J.A. Kemp & Co. to Eurolaims 1-24 to replace current on file Communication from European Patern March 4, 1998 (pgs. 4); (5) A letter file September 8, 1998 re: Response to the EPC dated March 4, 1998 to replace response to the service of the service	A. Kemp & Co.) to European Pathe Examination Report dated No ropean Patent Office dated May and a copy of original claims 1-2 at Office pursuant to Article 96(2 from J.A. Kemp & Co. to the Europe Communication pursuant to A	ovember 19, 1996. (pgs. 1); (3) 23, 1997 re: Copies of new 21 (pgs. 7); (4) 2) and Rule 51 (2) EPC dated ropean Patent Office dated Article 96(2) and Rule 51(2)	
		65.	Expert's Report and Witness Stateme	nt of David James Kemp on Ma	y 26, 2000 (pgs. 6)	
		66.	Houghton et al. (1996) "Developmen meeting) in April, 1996; (pgs. 17).	t of an HCV vaccine" (based on	presentation at Rome Hepatitis	
		67.	Statement of Professor Dr. Heinz-Jürgen Annexure "H-JT-1" To the Statement "H-JT-2" To The Statement of Professor "H-JT-3" To the Statement of Professor Or. Heinz-Jürgen Thiel-Statement of Professor Dr. Hei	t of Dr. Heinz-Jürgen Thiel, Cursor Dr. Heinz-Jürgen Thiel, Hepsor of Dr. Heinz-Jürgen Thiel Sech Fig. 14 (Sequence), (4)Annex Supplementary Expert's Report amoto et al.(1990) "The 5" Termined. Vol. 60:167-177. Okamoto patitis C Virus Isolated from Hur Divergent Regions" Journal of Clength Sequence of a Hepatitis Chaparative Study of Four Distinct ecular Cloning of the Human Helon-B Hepatitis" Proc. Natl. Aca	riculum Vitae, (2) Annexure pacivirus Figure (3) Annexure equenzvergleich von HCV-1 mit kure "H-JT-4" To The Statement of Howard Christopher inal Sequence of the Hepatitis C et al. (1991) "Nucleotide man Carrier: Comparison with General Virology Vol.72: 2697-C Virus Genome Having Poor Genotypes" Virology Vol. epatitis C Virus Genome from ad. Sci. USA, Vol. 87:9524-	
		68.	Declaration of George Lamson dated vitae of George Lamson, (pgs. 2) (2) GBV-C/HGV. (pgs. 2)	May 25, 2000 (pgs. 76 total) an Sequence Comparison-HCV Fig	d attachments. (1) Curriculum gure 14 from EP318216 vs.	
		69.	Statement of Amy J. Weiner, PhD da Vitae of Amy J. Weiner (pgs. 7); Fig	rure of 5-1-1 (pgs. 1)		
		70.	(1)Affidavit of Murray Briggs Gardn South Wales District Registry Gener Robert Jjian on October 31, 1995 bet Registry General Division, case no. (	al Division case no. G 106 of 19 fore The Federal Court of Austra	994. (pgs. 10) (2) Affidavit of	

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MO	71.	(1) Affidavit of Amy Joan Weiner on South Wales District Registry Genera 5" to the Affidavit of Amy Joan Wein Australia New South Wales District R Dr. Lau to the Deputy Editor of the The Lancet 341:1501-1504.	l Division case no. G106 of 199 her affirmed on November 1, 199 Registry General Division 1994 a	4. (2) Annexure marked "AJW- 95, In The Federal Court of and attachments (a) letter from		
	72.	Affidavit of Michael Houghton on No Wales District Registry General Division Christopher John Burrell on November District Registry General Division cast to the Affidavit of Christopher John E Vitae, (pgs. 14). (1b) Annexure marked November 20, 1995 and attachments Registry General Division case no. G (pgs. 9) (Tab 3) Aldous J., Chiron Corganon Teknkika Ltd. [1996] R.P.C. Chiron Corporation v.Murex Diagnos R.P.C. (pgs. 2) (Tab 5) Affidavit of M Justice Chancery Division Patents Co 5) (Tab 6) Second affidavit of Michael US patent). (Tab 8) PCT (Tab Miyamura et al. March 3, 1998. US M WO 93/1023912 May 27, 1993 WIPC	sion case no. G 106 of 1994. (pger 20, 1995 In The Federal Course no. G 106 of 1994 (pgs. 54). (Burrell sworn on November 20, d "CJB-2" to the Affidavit of Chan The Federal Court of Australia 106 of 1994 (pgs. 6). (Tab 2) Corporation v. Murex Diagnostics. (pgs. 3.) (Tab 4) Morritt L.J., (Itics Ltd., Chiron Corporation v. Michael Houghton on February Surt case nos. Ch 1992 C. NO. 48 el Houghton (pgs. 4). (Tab 7) pa 9) European Patent Application Patent No. 5,843,752 to Dasmah	s. 2) (Tab 1) Affidavit of t of Australia New South Wales la) Annexure marked "CJB-1" 1995 and Curriculum aristopher John Burrell on la New South Wales District bross examination of Dr. Reyes Ltd., Chiron Corporation v. Court of Appeal, Morritt L.J., Organon Teknika Ltd., [1996] la, 1994 In The High Court of layer Court of Specific Court of layer Court of Speci		
	73.	(1) Decision of May 8, 1996 of Board Publication Number: 0122791 (pgs. 2 European Patent Office re: Patent Pub Technical Board of Appeal 3.3.4 of M 3.3.4 (pgs. 3); (4) Summary of Facts	); (2) Decision of May 8, 1996 blication Number: 0122791 (pgs May 8, 1996 of European Patent	of Board of Appeal of The . 2); (3) Decision of the Office re: Case No. T 0694/92-		
	74.	Okamoto et al., (1991). "Nucleotide from a Human Carrier: Comparision J. Gen. Virol. 72:2697-2704.				
	75.	(1) Supplementary Expert Report of F Division Patents Court on June 1993. Simons & Simons to Messrs. Bristow on June 18, 1993 (pgs. 3)	CH 1992 C. Nos. 489 and 1513	3 (pgs. 2); (2) Letter from		
	76.	van der Poel, C.L. et al., (1994). "He	patitis C Virus Six Years On,"	The Lancet 344:1475-1479.		
	77.	Screening of RRV Polypeptide (pgs.	1)			
	78.	Exhibit 2 of Declaration of Dr. Hosei	n (pgs. 1)			
	79.	Page 4 of Declaration of Dr. Hosein (	pgs. 1)			
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N	Page 180. Yanagi, M. et al. (1996). "Contar Bovine Diarrhea Virus Genomes: J. Infect. Dis. 174:1324-1327.			plications for the Study of Hep	patitis C Virus in Cell Cultures,"			
		81.	Abstract Volums of IX Triennial Into Aprl 21-25, 1996, Rome, Italy (pgs.		Hepatitis and Liver Disease,			
	82. Sequence Comparison HCV v. B		Sequence Comparison HCV v. BVD	DV, March 7, 1997 (pgs. 2)				
		83.	Biogen, Inc. vs. Medeva Plc. On Oc	ctober 31,1996 (Decision of the House of Lords) (pgs. 28).				
	84. Page 29 of Complaint (Bradley) (1			gs. 1).				
		85.	Linnen, J. et al., (1996). "Molecular TRansfusion-Transmissible Agent,"	or Cloning and Disease Association of Hepatitis G Virus: A Science 271:505-508.				
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		94.	Tribunal of Milan. First Instance Decision No. 9816 dated November 11, 1999 Sorin Biomedica S.p.A. v. Chiron Co. et al (pgs. 4).					
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		100.	missing					

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.

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Form PT			Docket Number: 223002006313	Application Number: 08/441,355			
7	-70\m	ON DISCLOSURE CITATION AN APPLICATION	Applicants  Michael HOUGHTON et al.				
FEB 0 6 20	6 35 (U.	se several sheets if necessary)	Filing Date: May 15, 1995	Group Art Unit 1631			
<b>12</b>	<i>K1</i>		Mailing Date: February 6, 2002				
Mailing Date: February 6, 2002							
for	9 101.	Sequence homology of EBV (disclosed in D21) and HCV and Gap of EBV 11680 to 11780 compared to HCV (pgs. 2)					
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	104.	Bradley (1990) "Hepatitis Non-A, Non-B Viruses Become Identified as Hepatitis C and E Viruses", Prog. Med. Virol. Vol.37:101-135.					
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	106.	Curriculum Vitae for Dr. Hendrik Hüdig (pgs. 3)					
	107.	Curriculum Vitae for Ernest A. Baumann (pgs. 4)					

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